

I T H A K A

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# Protecting Future Access Now Models for Preserving Locally Created Content

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ALCTS Webinar

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<http://www.portico.org/alcts-webinar/>



ITHAKA is a not-for-profit organization that helps the academic community use digital technologies to preserve the scholarly record and to advance research and teaching in sustainable ways.

We pursue this mission by providing innovative services that aid in the adoption of these technologies and that create lasting impact.



**Ithaka S+R** is a research and consulting service that focuses on the transformation of scholarship and teaching in an online environment, with the goal of identifying the critical issues facing our community and acting as a catalyst for change.



**JSTOR** is a research platform that enables discovery, access, and preservation of scholarly content.



PORTICO

**Portico** is a digital preservation service for e-journals, e-books, and other scholarly e-content.



PORTICO

Portico is among the largest community-supported digital archives in the world.

Working with libraries, publishers, and funders, we preserve e-journals, e-books, and other electronic scholarly content to ensure researchers and students will have access to it in the future.





PORTICO

Over 2,000 societies, and associations have committed content to Portico through 122 publishers agreements.

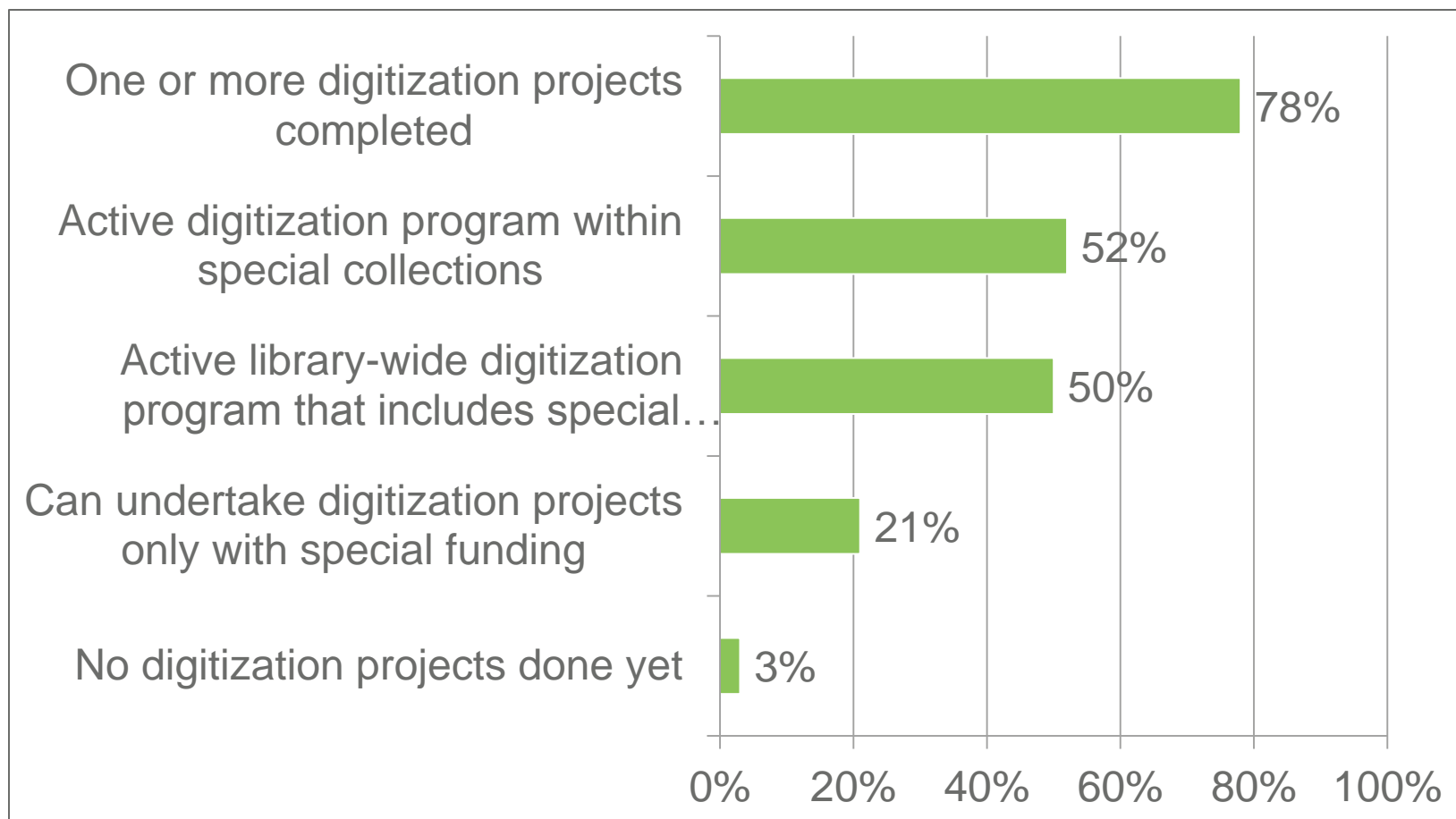
» E-journal titles	12,142
» E-book titles	73,298
» D-collections	39



**ANYONE CAN BE A PUBLISHER...**







## OCLC 2010 SURVEY ON SPECIAL COLLECTIONS, DIGITIZATION ACTIVITY

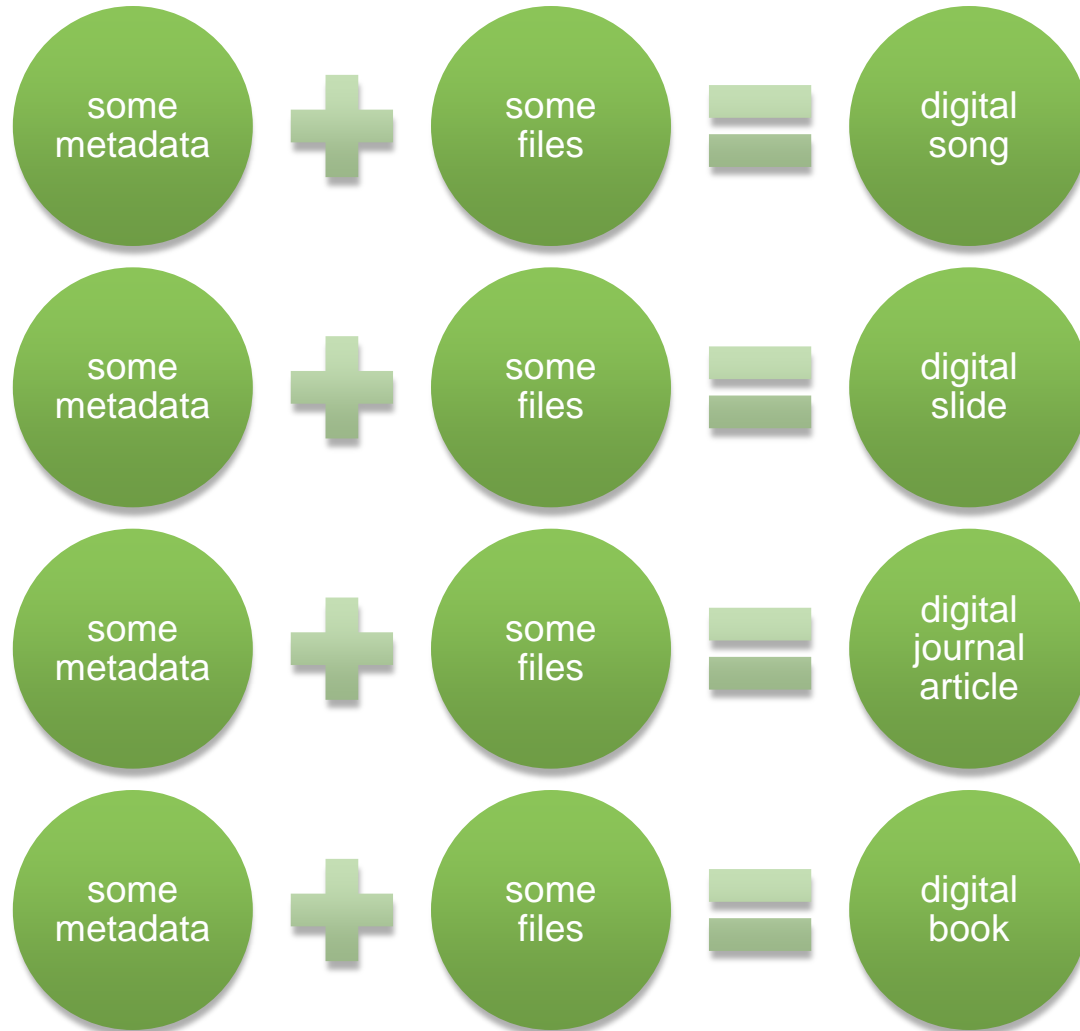
The NEH and IMLS awarded a grant to Portico, in partnership with Cornell University Library, through the “Advancing Knowledge: The IMLS/NEH Digital Partnership grant program” to develop a practical model for how preservation can be accomplished for digital content at cultural heritage organizations.

White Paper:

<http://www.portico.org/presentations-publications/>



# A Preservation Model





JISC Digitisation  
Programme:  
Preservation Study

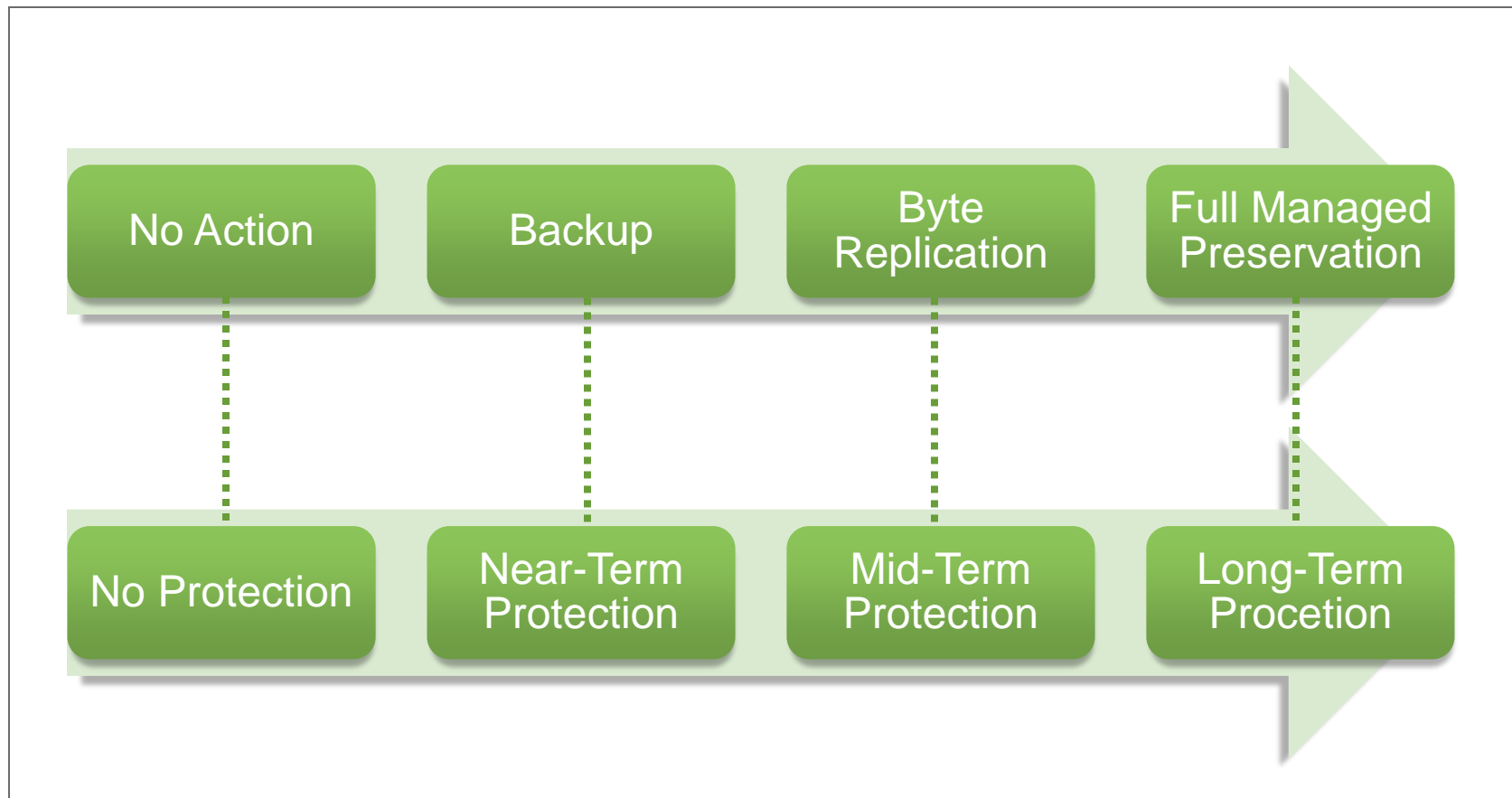
Portico Locally  
Created Content  
Study

Many thanks to JISC and NEH for funding.

- The IT department backs up the server, is that sufficient?
- We make a tape backup every 3 months, are we covered?
- The high resolution master files are on an external drive in Joe's office, is that OK?
- Can we keep this collection safe without preserving it?
- What will make this digital collection "safe enough"?

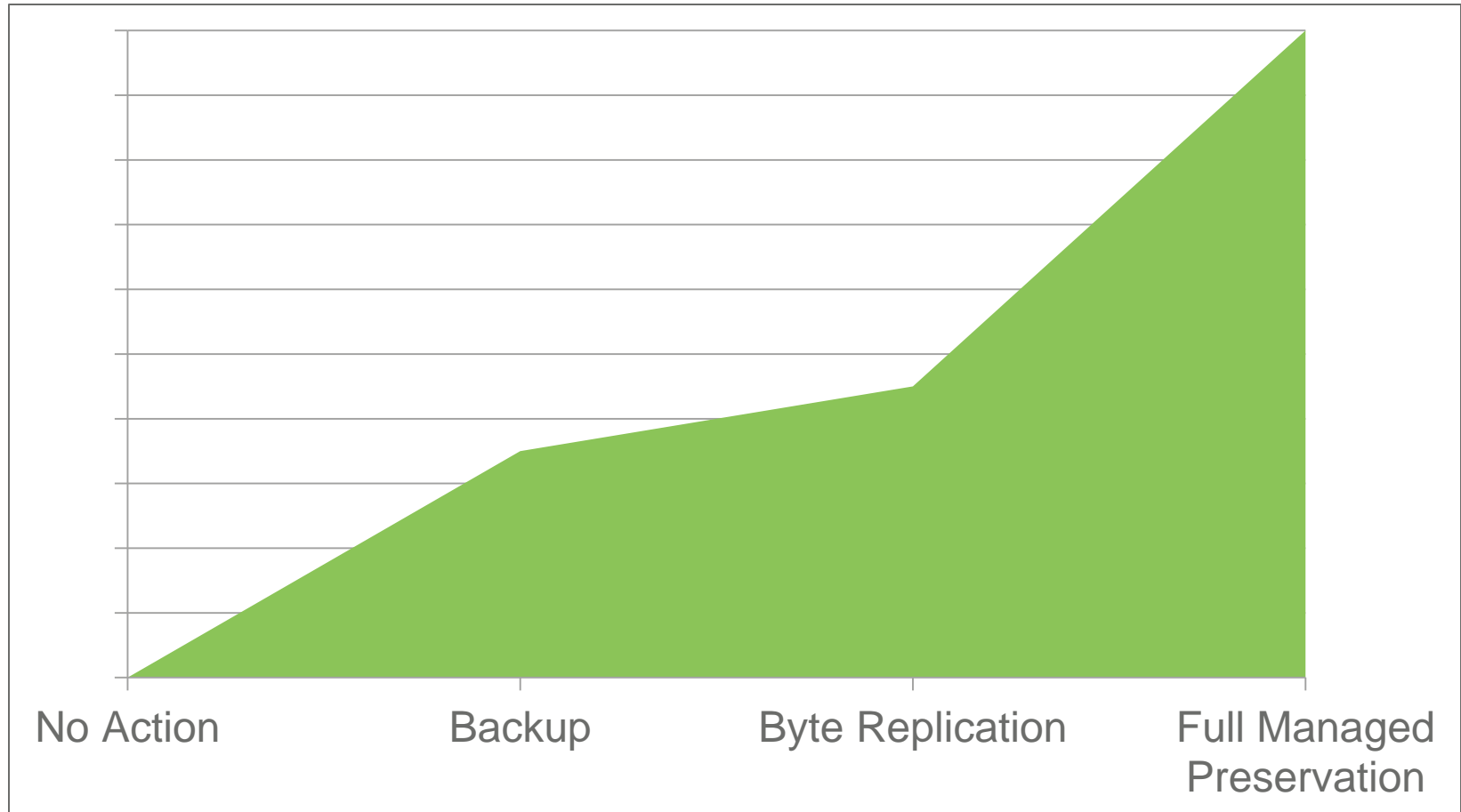
IT DEPENDS...





## CONTENT PROTECTION CONTINUUM

# Reasonable Preservation Questions



## CONTENT PROTECTION CONTINUUM II





Digital preservation is the series of management policies and activities necessary to ensure the enduring usability, authenticity, discoverability, and accessibility of content over the very long-term. The key goals of digital preservation include:

### Usability

- the intellectual content of the item must remain usable via the delivery mechanism of current technology

### Authenticity

- the provenance of the content must be proven and the content an authentic replica of the original

### Discoverability

- the content must have logical bibliographic metadata so that it can be found by end users through time

### Accessibility

- the content must be available for use to the appropriate community

## FULL MANAGED DIGITAL PRESERVATION

# A NICE DEFINITION, BUT WHAT CAN ORGANIZATIONS DO RIGHT NOW?



1 Locate all content

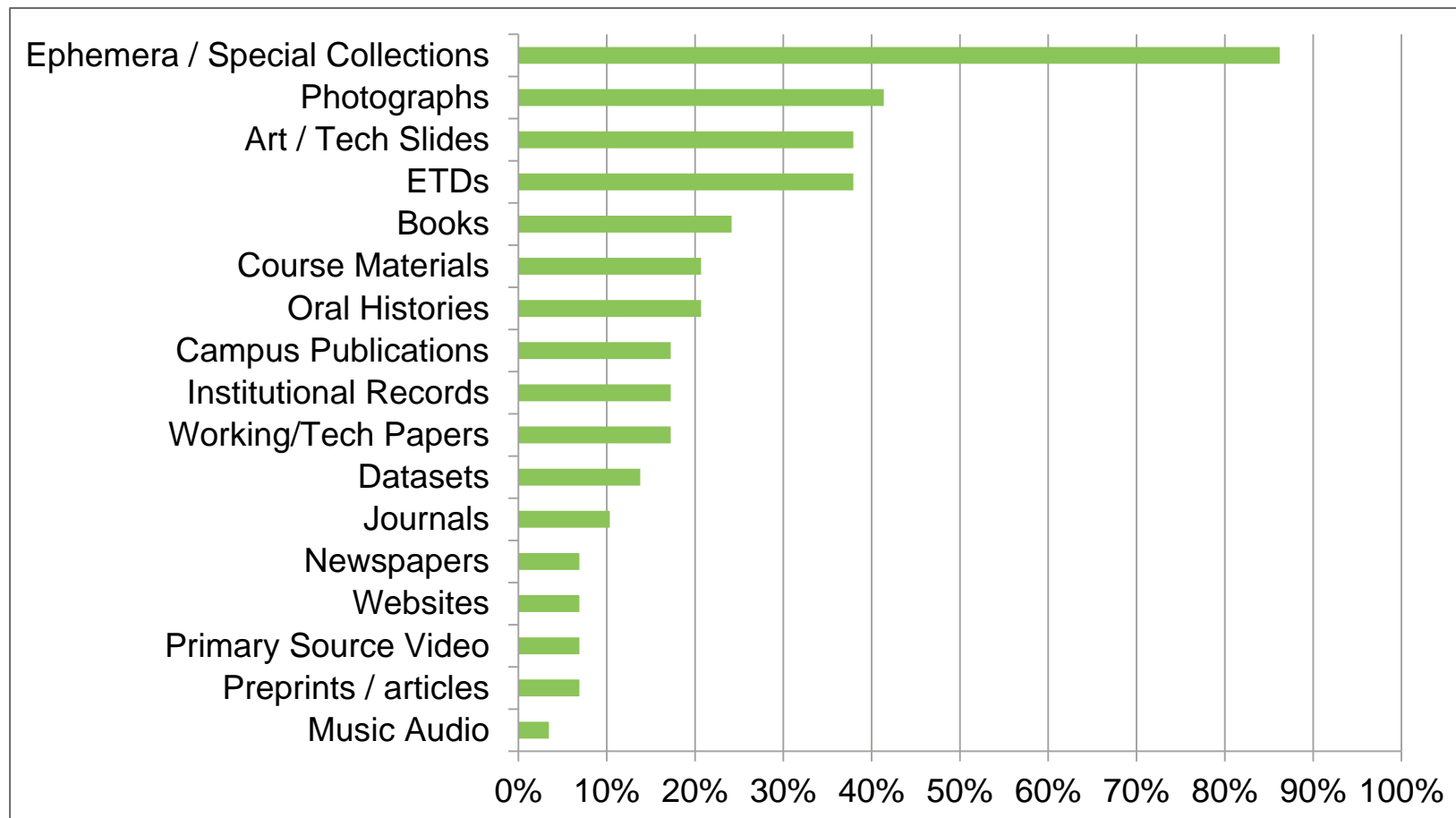
2 Initiate regular backups

3 Test retrieval from backups

4 Develop a long-term preservation plan

# ANALYSIS FROM THE STUDIES



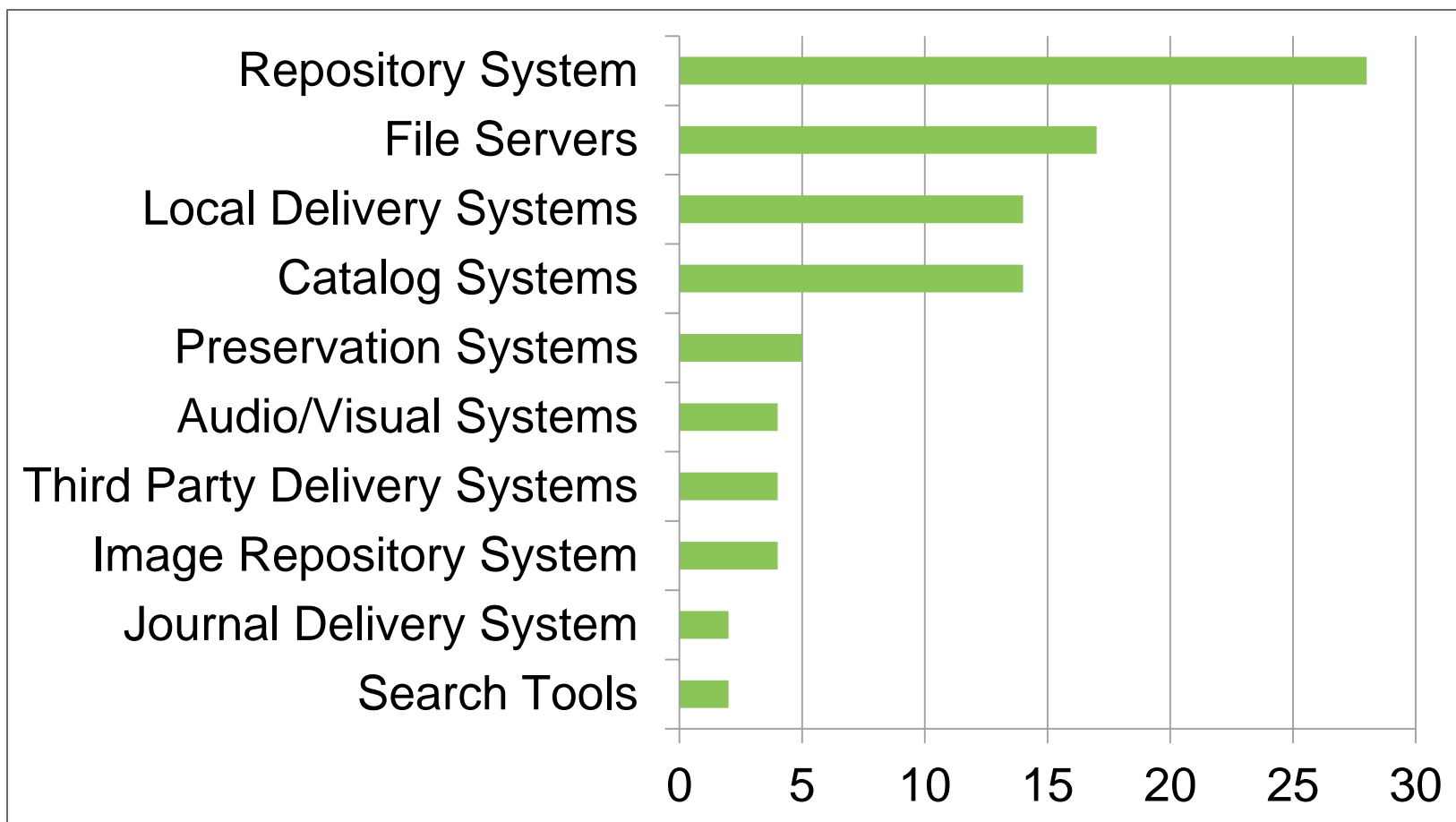


## DIGITAL CONTENT CONSIDERED IN STUDIES



The 27 institutions, and at least 30 projects, reviewed were using:



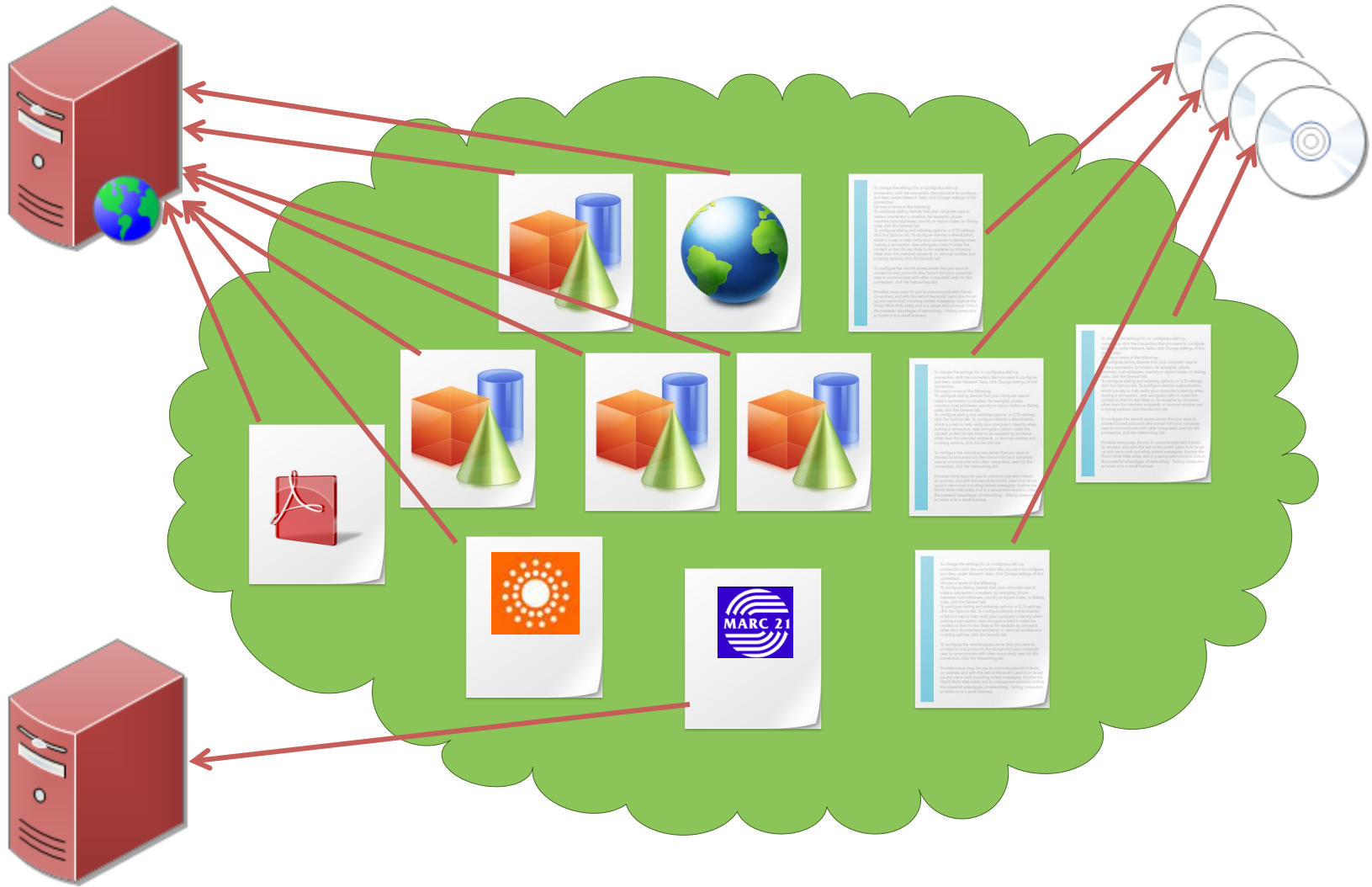


COUNT OF INDIVIDUAL INSTANCES OF TYPES OF SYSTEMS  
IN USE ACROSS ALL ORGANIZATIONS

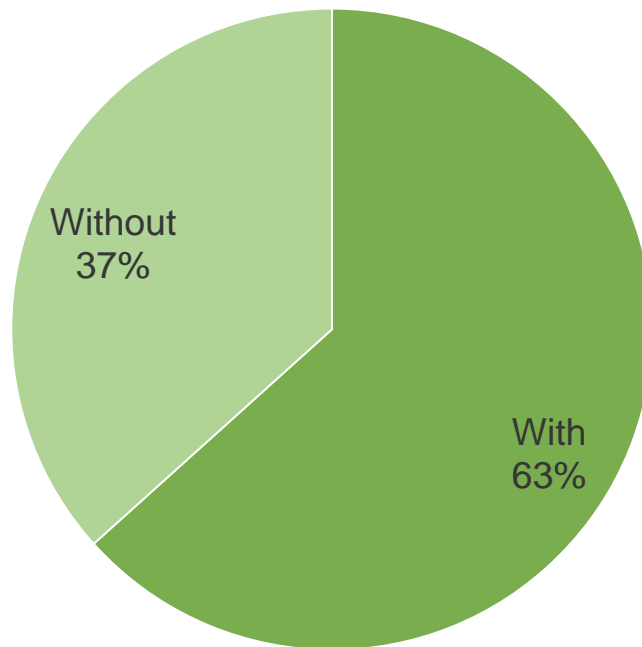




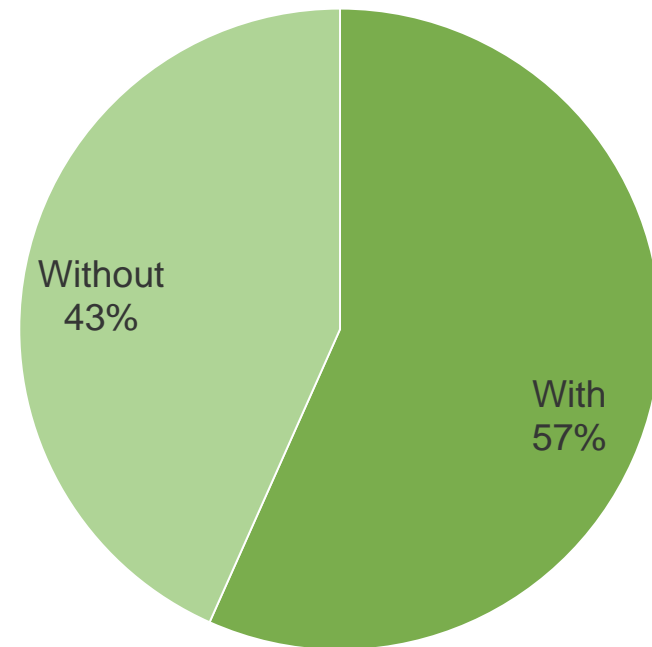
# Survey Analysis



### Institutions with InterInstitutional Collaborations

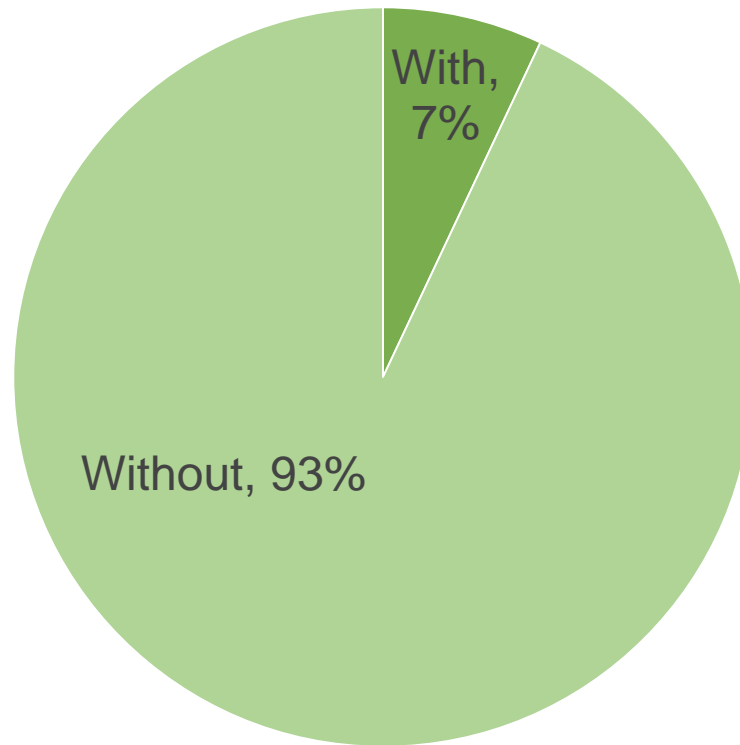


### Institutions with IntraInstitutional Collaborations



COLLABORATION





## INSTITUTIONS WITH AND WITHOUT PRESERVATION POLICIES

The size of a cultural heritage organization is neither a predictor for risk nor amount or quality of digital content.

Many cultural heritage organizations do not have staff to support either preservation or access systems in-house.

Cultural heritage organizations would benefit from a turn-key solution that provides both access and preservation for a large variety of formats and content types.

Cultural heritage organizations do not often have a sustainability plan associated with their digital content. The digital content is not considered a product that must be sustained, it is considered another outlet for their special collections.

# INITIAL STEPS TO PROTECT CONTENT



1 Locate all content

2 Initiate regular backups

3 Test retrieval from backups

4 Develop a long-term preservation plan

## PRESERVATION (OR PROTECTION) PLANNING

1

**Who:** Identify the key players involved with long-term preservation of the targeted content

2

**What:** Describe or characterize the collection and content

3

**Where:** Document the locations of all the copies of the content and metadata.

4

**When:** Document the targeted preservation timeframe and impact of loss.

5

**How:** Document how the key content management and preservation tasks will occur.







WHY BACKUP?



## CALCULATING A BACKUP SOLUTION

$$1 + 1 = 2$$



# Initial Steps to Protect Content

## CALCULATING A BACKUP SOLUTION

$$1 + 1 = 2$$

$$1 + 11 + .33 + 3 - 11 +$$

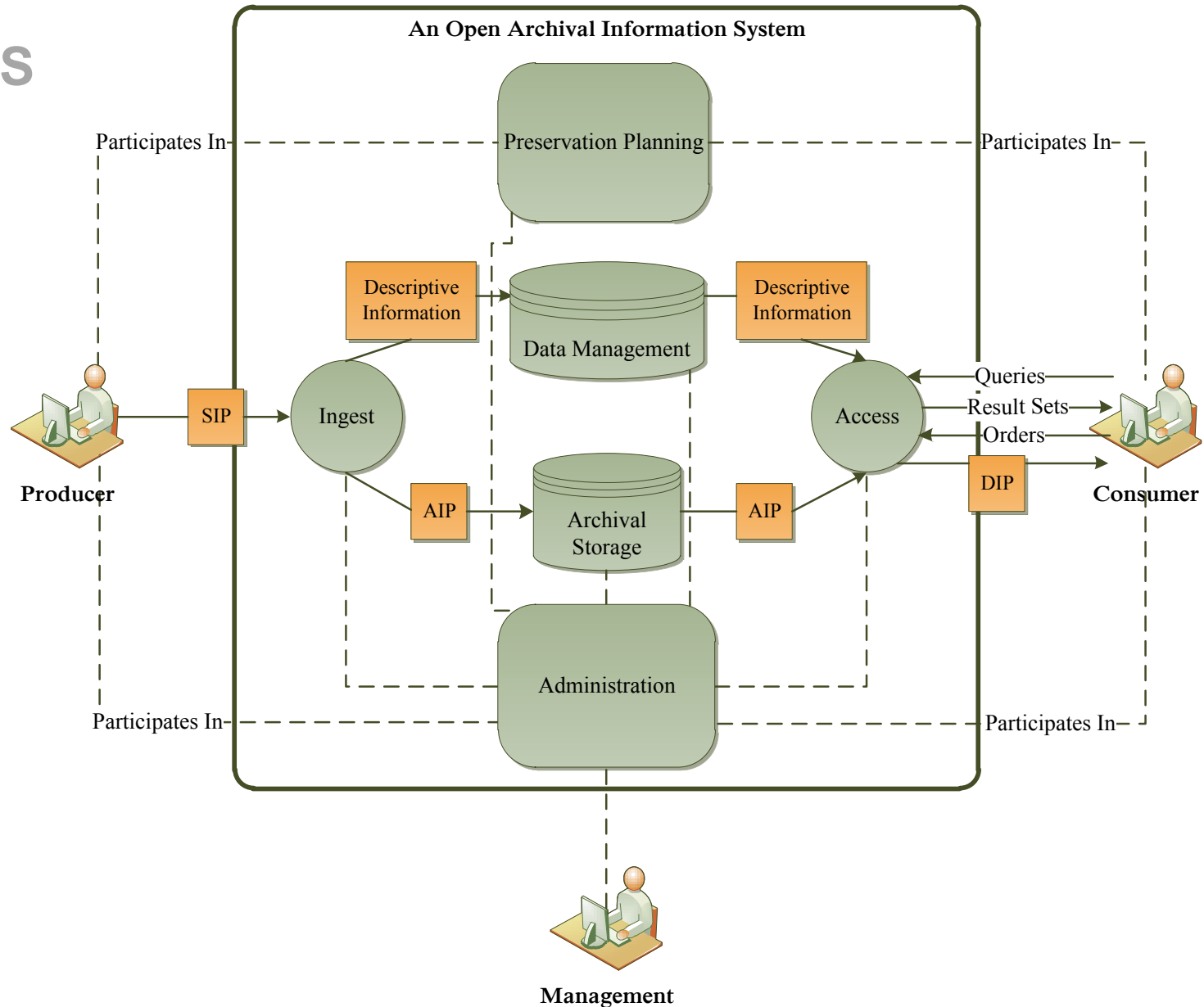
$$.58 + 51 + .09 \div 28 = 2$$

# PRESERVATION

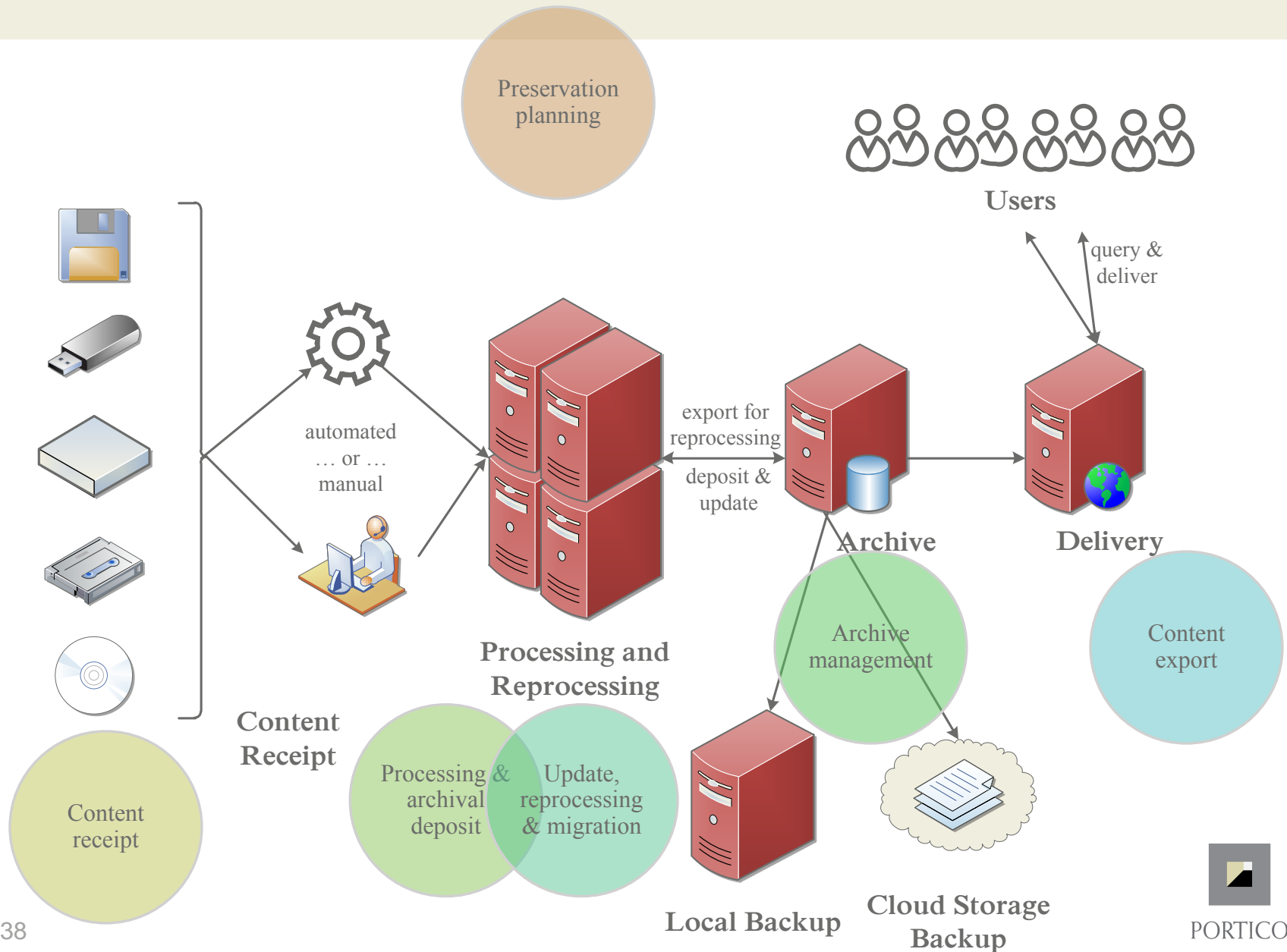


# A Preservation Model

## OAIS



# A Preservation Model



## A Preservation Model for

## Cultural Heritage Organizations



## SELECTING SOFTWARE

Will the software meet your input throughput needs? Will it meet your batch or otherwise loading needs?

Will the software meet your output throughput needs?

How complicated is the software to manage? Do you have appropriate staff to both install the software and maintain it over time?

Will the software capture the preservation metadata you have identified as necessary in your policies?

Can the software support maintaining the original master versions of your content files and the web-ready versions of your content files side-by-side with the metadata for the files?

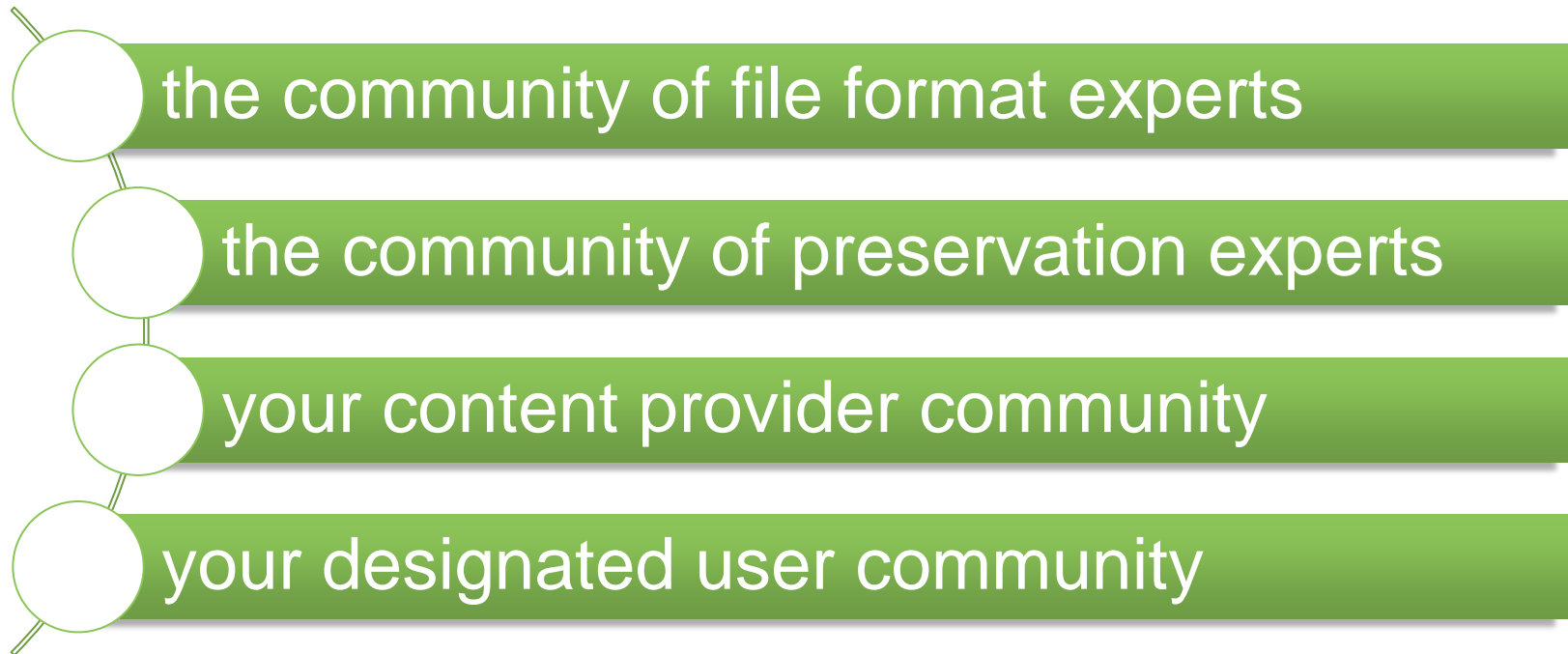
Can the software export the original master version of your content files with the metadata for those files?

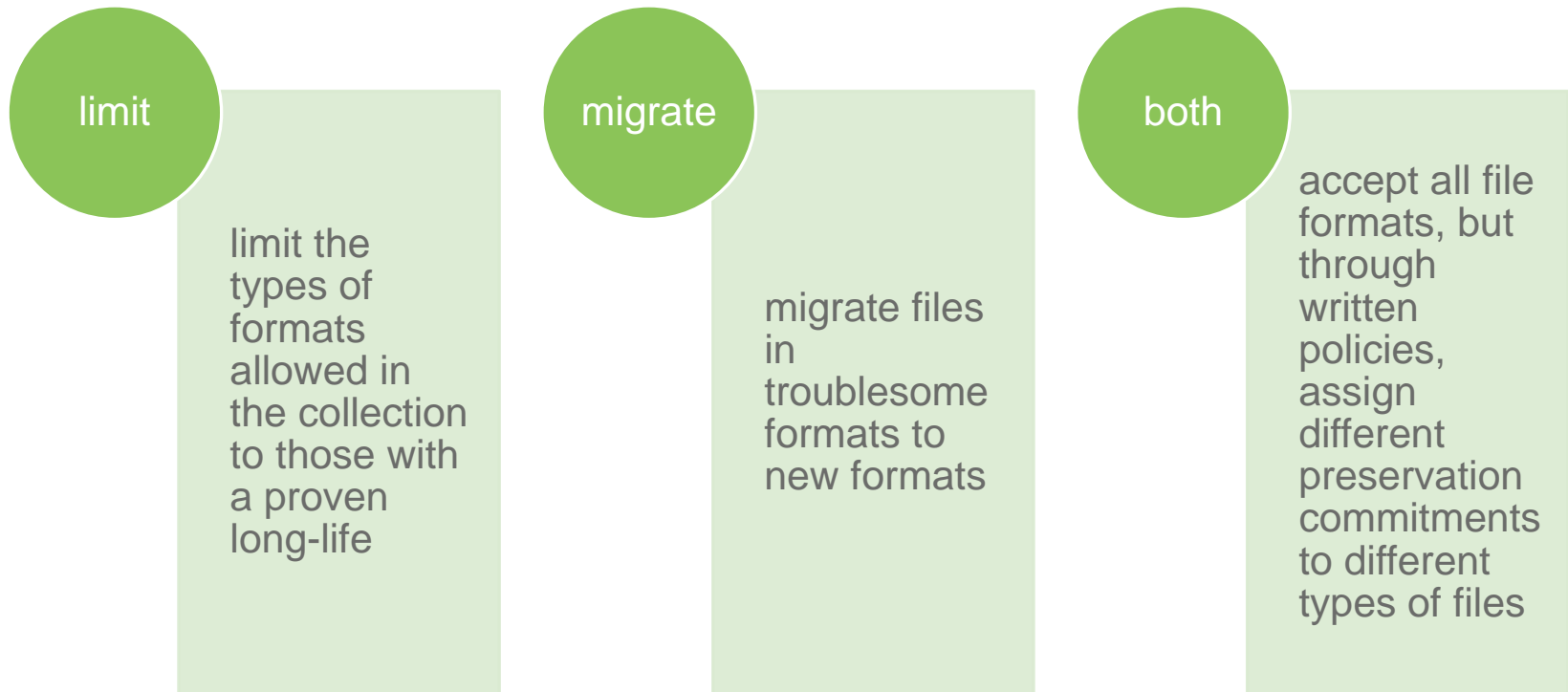
How much does the software cost initially? Consider both internal costs such as staff time and external costs.

How much will it cost to maintain? Consider both internal costs such as staff time and external costs such as licensing fees.



## COMMUNITY MONITORING





## CONTENT FORMATS

## PRESERVATION POLICIES

### <Preservation Policy Title>

#### 1. Policy Statement

- 1.1. <Paragraph 1 in high level policy statement>
- 1.2. <Paragraph 2 in high level policy statement. This section should be short, but accurate and to the point. It should provide guidance to operations staff as they do their jobs.>

#### 2. Implementation Examples

- 2.1. <Example 1>
- 2.2. <Example 2 – examples should describe practical decisions made based upon this policy.>

#### 3. Document History

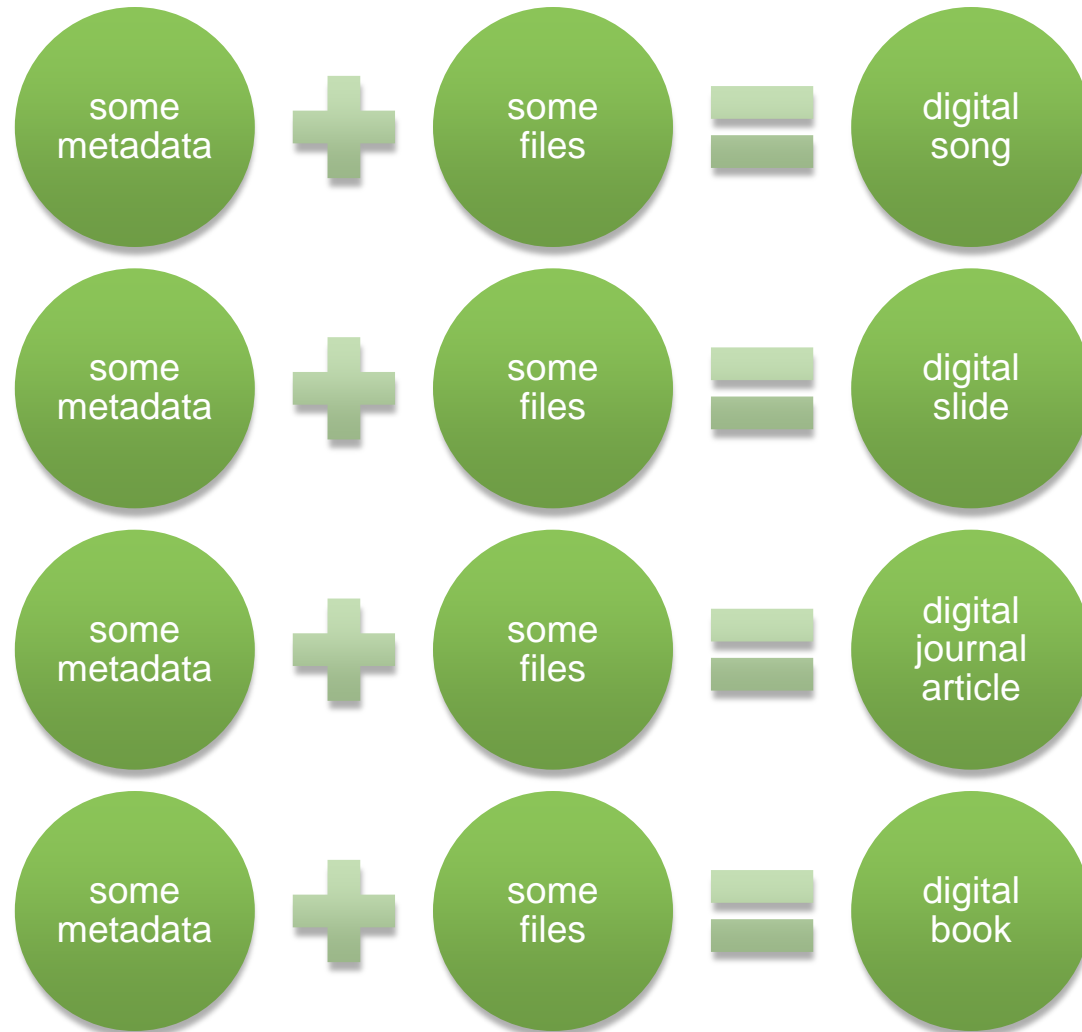
- 3.1. Approved by: <Name of approver>
- 3.2. Last Review Date: <Date policy was last approved>
- 3.3. Reviewed by: <Names of people who reviewed the document at its last review date>
- 3.4. Change history:

Version	Date	Change	Author
<version #>	<date finalized>	<Highlight the changes made to the document>	<author of the changes>
<version 2>*	<date finalized>	<Highlight the changes made to the document>	<author of the changes>

\* An approved version of this document.



## METADATA & PACKAGING



## METADATA & PACKAGING



## METADATA & PACKAGING

## PACKAGING



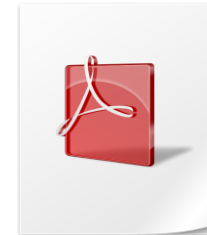
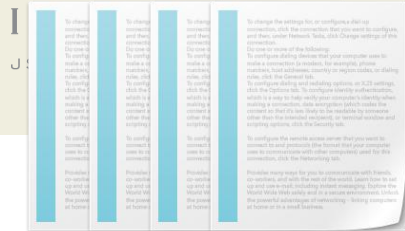
# A Preservation Model

## METADATA & PACKAGING

## PACKAGING & PRESERVATION METADATA



Preservation and Packaging Metadata File



ORTICO

- The intellectual unit represented by this metadata file is a digitized book.
- It was scanned by Joe on this date.
- It was ingested into the repository on this other date.
- Jane Smith granted us preservation rights to it on this other date.
- ...

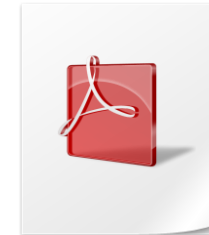
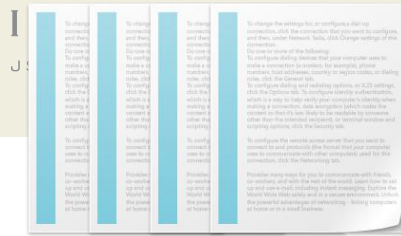


Preservation and Packaging Metadata File

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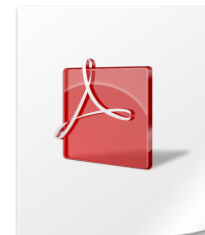
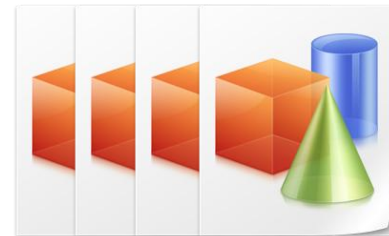
...



Preservation and Packaging Metadata File

- These TIF files are page images.
- The TIF file named XYZ is page 1. It is a valid TIF and has a checksum of 123456.
- The TIF file named ABC is page 2. It is not a valid TIF and has a checksum of 78910.

...



ORTICO

# A Preservation Model

## METADATA & PACKAGING

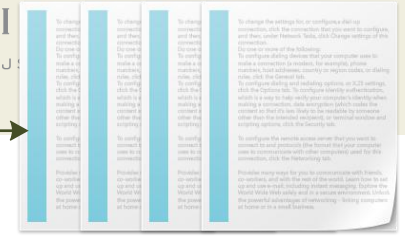
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- These JPG files are figures.
- The JPG file named MNO is the 2<sup>nd</sup> figure on page 2. It is a valid JPG and has a checksum of 234567.
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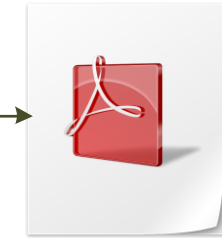
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- This PDF file contains page images.
- The page images are built from TIF files XYZ, ABC, etc. and JPG figure graphics MNO, etc.
- ...



# A Preservation Model

## METADATA & PACKAGING

## PACKAGING & PRESERVATION METADATA

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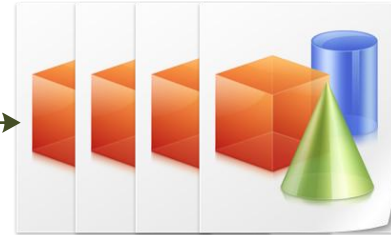


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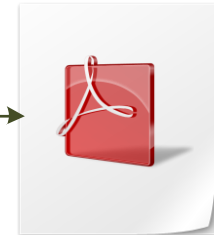
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- This MARC file is the bibliographic record for the book.
- ...



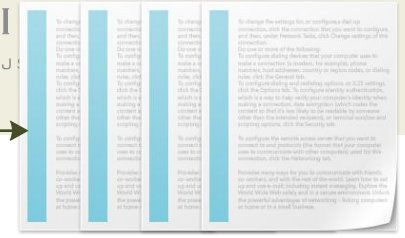
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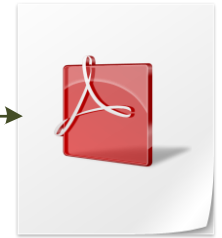
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- The page images are built from TIF files XYZ, ABC, etc. and JPG figure graphics MNO, etc.
- ...



- This MARC file is the bibliographic record for the book.
- ...



- This XML file contains the full-text of the book.
- It uses the QRS DTD.
- It is named JKL and has a checksum of 555555.
- ...

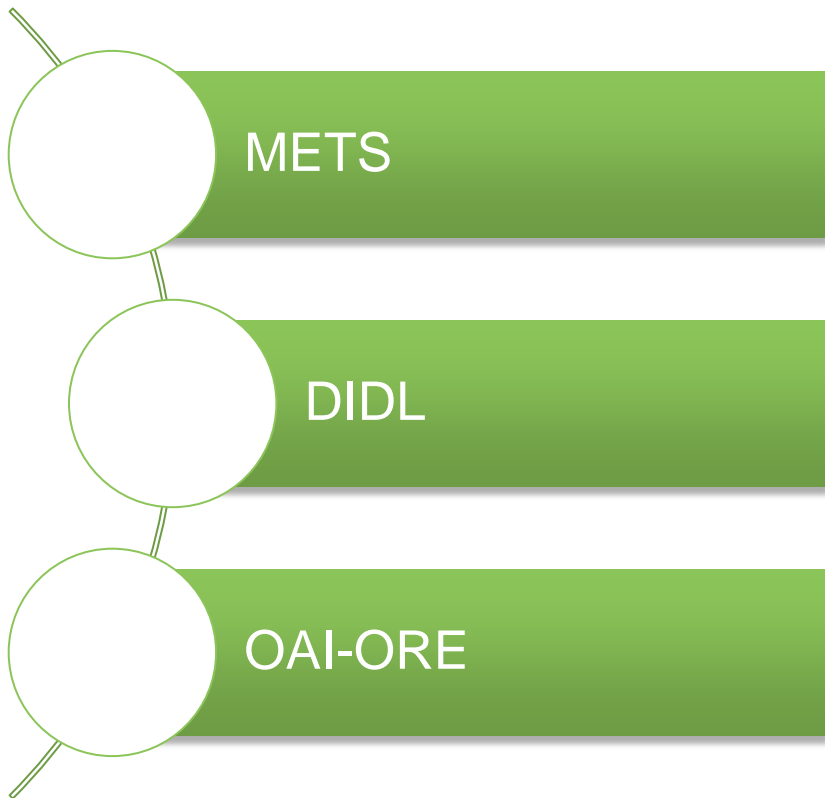


# A Preservation Model

## METADATA & PACKAGING

## PACKAGING & PRESERVATION METADATA

## PACKAGING



## PRESERVATION METADATA



Access rights are not preservation rights

Get preservation rights at the point of deposit

Document preservation rights in the preservation metadata

Tricky area – embedded content

Tricky area – research and privacy rights

## RIGHTS



## COSTS



## RECOVERING COSTS

Allowing sponsorship of the collection

Permitting advertising on the collection

Encouraging donations to support the collection

Building an endowment

Creating premium services for purchase, the revenues from which can subsidize the preservation service.

Enlisting support from funding bodies, scholarly and professional societies, and publishers

Charging for access to the collection and using the revenue to subsidize the preservation costs.

Charging for participation in the preservation service

Relying upon support from a parent organization or government

Do-It-Yourself

Collaboration

Third Party Preservation Service

## ORGANIZATIONAL MODEL

## A Preservation Model for



Cultural Heritage Organizations



PORTICO

THANK YOU.

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<http://www.portico.org>



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